PTO/SB/21 (08-00)

Approved for through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|                                                                                   |                                | Applic                                                                                   | cation Number                                         | 09/890,178                                                                                                                                                                             |
|-----------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------|-------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| TRANSMITTAL<br>FORM                                                               |                                |                                                                                          | Date                                                  | January 28, 2000                                                                                                                                                                       |
|                                                                                   |                                |                                                                                          | Named Inventor                                        | Alexander Medvinsky                                                                                                                                                                    |
| (to be used for all correspondence after initial filing)                          |                                | Group                                                                                    | Art Unit                                              |                                                                                                                                                                                        |
|                                                                                   |                                | Exami                                                                                    | ner Name                                              | Bryan Tung                                                                                                                                                                             |
| Total Number of Pages in This Submission                                          |                                | Attorney Docket Number                                                                   |                                                       | 018926-003400US                                                                                                                                                                        |
| ENCLOSURES (check all that apply)                                                 |                                |                                                                                          |                                                       |                                                                                                                                                                                        |
| Fee Transmittal Form                                                              |                                | ment Pap<br>Application                                                                  |                                                       | After Allowance Communication to Group                                                                                                                                                 |
| Fee Attached                                                                      | ☐ Drawin                       | ☐ Drawing(s)                                                                             |                                                       | Appeal Communication to Board of Appeals and Interferences                                                                                                                             |
| Amendment / Response                                                              | Licens                         | ing-relate                                                                               | d Papers                                              | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)                                                                                                                      |
|                                                                                   |                                | n Routing Slip (PTO/SB/69)<br>ccompanying Petition                                       |                                                       | Proprietary Information                                                                                                                                                                |
|                                                                                   |                                | n to Convert to a<br>ional Application                                                   |                                                       | Status Letter                                                                                                                                                                          |
|                                                                                   |                                | r of Attorney, Revocation<br>ge of Correspondence Address                                |                                                       | Other Enclosure(s) (please identify below):                                                                                                                                            |
| Express Abandonment Request                                                       |                                | ☐ Terminal Disclaimer ☐ Request for Refund                                               |                                                       | Petition to Change the First Name of<br>Inventor, Declaration of Alexander<br>Medvinsky in Support of Petition to                                                                      |
| ☐ Information Disclosure Statement                                                |                                | CD, Number of CD(s)                                                                      |                                                       | Change First Name of Inventor, Declaration of Charles J. Kulas in Support of Petition to Change First Name of Inventor, Copy of Communication mailed January 25, 2002, Return Postcard |
| Certified Copy of Priority Document(s)                                            | Rema                           | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |                                                       |                                                                                                                                                                                        |
| Response to Missing Parts/ Incomplete Application                                 |                                |                                                                                          | I                                                     |                                                                                                                                                                                        |
| Response to Missing Parts under 37 CFR 1.52 or 1.53                               |                                |                                                                                          |                                                       |                                                                                                                                                                                        |
| SIGN                                                                              | ATURE OF                       | APPLIC                                                                                   | ANT, ATTORNEY, O                                      | R AGENT                                                                                                                                                                                |
| Firm Townsend and T                                                               |                                |                                                                                          | •                                                     |                                                                                                                                                                                        |
| Individual name Fide D. Nwamu                                                     | Reg. No. 46,294                |                                                                                          |                                                       |                                                                                                                                                                                        |
| Signature £, 0                                                                    | X N                            |                                                                                          |                                                       |                                                                                                                                                                                        |
| Date 32502                                                                        |                                |                                                                                          |                                                       |                                                                                                                                                                                        |
| CERTIFICATE OF MAILING                                                            |                                |                                                                                          |                                                       |                                                                                                                                                                                        |
| I hereby certify that this correspondence class mail in an envelope addressed to: | is being depo<br>Assistant Con | sited with                                                                               | n the United States Posta<br>er for Patents, Box PCT, | al Service with sufficient postage as first Washington, D.C. 20231 on this date:                                                                                                       |
| Typed or printed name Julie Taylor                                                | Clough                         | •                                                                                        |                                                       |                                                                                                                                                                                        |
| Signature ), Liè                                                                  | Taylor                         | C                                                                                        | LOUGH                                                 | Date march 25, 200                                                                                                                                                                     |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1330087 v1



Response Due

Charles J. Kulas Townsend and Townsend and Crew LLP Two Embarcadero Center, 8th Floor San Francisco, CA 94111

In re Application of MEDVINSKY et al

U.S. Application No.: 09/890,178

Int. Application No.: PCT/US00/02317

Int. Filing Date: 28 January 2000 Priority Date: 29 January 1999

Attorney Docket No.: 018926-0003400US

**SELF-GENERATION OF CERTIFICATES** USING A SECURE MICROPROCESSOR IN

A DEVICE FOR TRANSFERRING

**DIGITAL INFORMATION** 

COMMUNICATION

This application is before the PCT Legal Office for consideration of issues arising under 35 U.S.C. 371.

## **BACKGROUND**

On 28 January 2000, applicants filed international application PCT/US00/02317, which claimed priority of an earlier United States application filed 29 January 1999. A Demand for international preliminary examination, in which the United States was elected, was filed on 22 August 2000, prior to the expiration of nineteen months from the priority date. Accordingly, the thirty-month period for paying the basic national fee in the United States expired at midnight on 30 July 2001 (29 July 2001 was a Sunday).

On 26 July 2001, applicants filed national stage papers in the United States Designated/Elected Office (DO/EO/US). The submission was accompanied by, inter alia, the basic national fee required by 35 U.S.C. 371(c)(1).

On 06 September 2001, the DO/EO/US mailed a Notification of Missing Requirements Under 35 U.S.C. 371 (Form PCT/DO/EO/905), which indicated that an oath or declaration in compliance with 37 CFR 1.497 must be filed along with a surcharge under 37 CFR 1.492(e) for providing the oath or declaration later than thirty (30) months from the priority date.

Application No.: 09/890,178

On 03 January 2002, applicants filed an executed declaration.

## **DISCUSSION**

A review of the application file reveals that the given name of the first inventor is listed in the international application as "Sasha" while the given name is listed in the declaration as "Alexander". In that this is clearly more than a mere typographical error or a phonetic misspelling of the inventor's given name, a proper petition under 37 CFR 1.182 is required in order to resolve the matter. Such a petition must be accompanied by the requisite petition fee of \$130.00 as well as statements from the inventor and any other persons having firsthand knowledge of the error. These statements must set forth the specific circumstances as to how and when the error was made and discovered and must also set forth that the mistake was an inadvertent error without deceptive intent.<sup>1</sup>

## **CONCLUSION**

A proper response must be filed within TWO (2) MONTHS from the mail date of this decision. Failure to timely file a proper response will result in ABANDONMENT of the application. A proper response would be a petition under 37 CFR 1.182 along with the appropriate petition fee and the statement(s) described above. Extensions of time are available pursuant to 37 CFR 1.136.

Please direct further correspondence with respect to this matter to the Commissioner for Patents, Box PCT, Washington, D.C. 20231, and address the contents of the letter to the attention of the PCT Legal Office.

Bryan Tung

PCT Legal Examiner

PCT Legal Office

Telephone: 703-308-6614 Facsimile: 703-308-6459

<sup>&</sup>lt;sup>1</sup> In the event that the inventor's name has been legally changed after the application has been filed, an affidavit signed with both names and setting forth the procedure whereby the change of name was effected, or a certified copy of the court order, must be submitted. See MPEP 605.05(c).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box PCT,

Attorney Docket No. 18926-003400US

Washington, D.C. 20231, Attn: PCT Legal Office

TOWNSEND and TOWNSEND and CREW LLP

Taylor Clough

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ALEXANDER MEDVINSKY, et al.

U.S. Application No.: 09/890,178 Int. Application PCT/US00/02317

Int. Filing Date: January 28, 2000

For: SELF-GENERATION OF CERTIFICATES USING SECURE MICROPROCESSOR IN A DEVICE FOR TRANSFERRING DIGITAL **INFORMATION** 

Assistant Commissioner for Patents, Box PCT Washington, D.C. 20231, Attn: PCT Legal Office

PCT Legal Examiner: Bryan Tung

PETITION TO CHANGE THE FIRST NAME OF INVENTOR UNDER 37 CFR 1.182

BECENED

0 4 APR 2002

Li .... International Division

Sir:

In response to the Communication dated January 25, 2002, applicants hereby petition the Assistant Commissioner of Patents to change the first name of inventor from "Sasha Medvinsky" to -- Alexander Medvinsky--.

Declarations in Support of Petition to Change the First Name of Inventor signed by the inventor, and attorney of record, accompany this Petition.

Please charge \$130.00, pursuant to 37 CFR §1.17(h), to Deposit Account No. 20-1430. Please charge any additional fees or credit overpayment to the above Deposit Account. This Petition is submitted in triplicate.

04/18/2002 LLANDGRA 00000003 201430 09890178

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130.00 CH

Respectfully submitted,

Reg. No. 46,294

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